| Please type a plus sign (+) inside this box ———————————————————————————————————   | PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE |
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| UTILITY   | Attomey Docket No. A01415  |
| PATENT APPLICATION  | First Inventor Paul Steve Chirgott   |
| TRANSMITTAL   | Title POLYMERIC COMPOSITIONS USEFUL  |
| (Only for new nonprovisional applications under 37 CFR 1. 53(b))  | Express Mail Label No. EU416299504US   |
| APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  | ADDRESS TO:  Assistant Commissioner for Patents Box Patent Application Washington, DC 20231  |
| Fee Transmittal Form (e.g., PTO/SB/17)  | 7. CD-ROM or CD-R in duplicate, large table or O   |
| 1. (Submit an original and a duplicate for fee processing)  Applicant claims small entity status.   | Computer Program (Appendix)  |
| See 37 CFR 1.27.  | (if annliable all managem)   |
| 3. Specification [Total Pages 39]   | a. Computer Readable Form (CRF)  |
| <ul> <li>Descriptive title of the invention</li> <li>Cross Reference to Related Applications</li> </ul>   | b. Specification Sequence Listing on:  |
| Statement Regarding Fed sponsored R & D   | i. CD-ROM or CD-R (2 copies); or   |
| <ul> <li>Reference to sequence listing, a table,<br/>or a computer program listing appendix</li> </ul>  | ii. paper  |
| - Background of the Invention   | c. Statements verifying identity of above copies   |
| <ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> </ul>  | ACCOMPANYING APPLICATION PARTS   |
| Detailed Description  | Assignment Papers (cover sheet & document(s))  |
| <ul> <li>Claim(s)</li> <li>Abstract of the Disclosure</li> </ul>  | 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney   |
| 4. Drawing(s) (35 U.S.C.113) [Total Sheets ]  | 11. English Translation Document (if applicable)   |
|   | Information Disclosure Copies of IDS   |
| 5. Oath or Declaration [ Total Pages 2 ]  | Statement (IDS)/PTO-1449   |
| a. Newly executed (original or copy)  Copy from a prior application (37 CFR 1.63 (d))   | 13. Preliminary Amendment  Return Receipt Postcard (MPEP 503)  |
| b. (for continuation/divisional with Box 18 completed)  | (Should be specifically itemized)  |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)   | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  |
| named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  | 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.               |
| 6. Application Data Sheet. See 37 CFR 1.76  | 17. Other:   |
| 18. If a CONTINUING APPLICATION, check appropriate box, and sup   | ply the requisite information below and in a preliminary amendment,  |
| or in an Application Data Sheet under 37 CFR 1.76:  |  |
| Continuation Divisional Continuation-in-part (CIP)  Prior application information: Examiner   | of prior application No.:  |
| Prior application information: Examiner  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the                                       |  |
| Box 5b, is considered a part of the disclosure of the accompanying continu  The incorporation can only be relied upon when a portion has been inadver |  |
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Date

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PTO/SB/17 (01-03)
Approved for use through 04/30/2003 OMB 0651-0032
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL Application Number Herewith Filing Date for FY 2003 Paul Steve Chirgott First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. Examiner Name Not yet assigned Applicant claims small entity status. See 37 CFR 1.27 Art Unit Not yet assigned TOTAL AMOUNT OF PAYMENT (\$) 834 EU416299504US Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money 3. ADDITIONAL FEES Check Credit card Other None Large Entity Small Entity X Deposit Account: Fee Fee Fee Fee Fee Description Deposit Code (\$) Code (\$) Fee Paid 18-1850 Account 1051 130 2051 65 Surcharge - late filing fee or oath Number 25 Surcharge - late provisional filing fee or cover sheet Deposit Account 1052 50 2052 Rohm and Haas Company Name 1053 130 1053 130 Non-English specification The Commissioner is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Credit any overpayments Charge fee(s) indicated below 1804 920\* 920\* Requesting publication of SIR prior to Examiner action 1804 Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee 1805 1.840\* 1805 1,840\* Requesting publication of SIR after Examiner action to the above-identified deposit account. 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 1252 410 2252 205 Extension for reply within second month 1. BASIC FILING FEE 1253 930 2253 465 Extension for reply within third month Large Entity Small Entity Fee Paid Fee Fee Code (\$) Fee Description Fee Fee 1254 1.450 2254 725 Extension for reply within fourth month Code (\$) 1255 1,970 2255 985 Extension for reply within fifth month 1001 750 2001 375 Utility filing fee 750 1401 320 2401 160 Notice of Appeal 1002 330 2002 165 Design filing fee 1402 320 160 Filing a brief in support of an appeal 1003 520 2003 260 2402 Plant filing fee 1403 280 140 Request for oral hearing 2403 2004 375 Reissue filing fee 1004 750 1451 1,510 1451 1,510 Petition to institute a public use proceeding 2005 80 1005 160 Provisional filing fee 1452 110 2452 55 Petition to revive - unavoidable 750 SUBTOTAL(1) (S) 2453 650 Petition to revive - unintentional 1453 1,300 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 2501 650 Utility issue fee (or reissue) 1501 1.300 Fee from Extra Claims below Fee Paid 1502 470 2502 235 Design issue fee **Total Claims** -20\*\* = Х 1503 630 315 Plant issue fee Independent - 3\*\* = 1460 130 Petitions to the Commissioner 1460 130 Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity Fee Fee Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description 8021 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 property (times number of properties) 1202 18 2202 9 Claims in excess of 20 1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) \*\* Reissue independent claims 1204 84 42 2204 375 Request for Continued Examination (RCE) over original patent 1801 750 2801 1802 900 900 Request for expedited examination 1205 18 2205 \*\* Reissue claims in excess of 20 1802 and over original patent of a design application Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid \*\*or number previously paid, if greater; For Reissues, see above SUBTOTAL (3) (\$) 0 (Complete (if applicable) SUBMITTED BY Registration No. (AttornevlAgent) Name (Print/Type) KARL E. STAUSS 40,827 Telephone (215) 592-3436 ignature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01415

KES/yv

In re application of: Paul Steve Chirgott

Serial No: Not yet assigned

Group Art Unit: Not yet assigned

Filed: Herewith

Examiner: Not yet assigned

For: POLYMERIC COMPOSITIONS USEFUL IN PLASTIC RESINS

MAIL STOP: PATENT APPLICATION

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

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Fee Transmittal (in duplicate)
Non-Provisional Patent Application
Original Declaration and Power of Attorney
Preliminary Amendment
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8 08 03 Date Yvette Vigliarese Signature

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